



Cobalt Reporting Template (CRT)

English

Revision 2.0
October 25, 2019

[Link to Terms & Conditions](#)

The purpose of this document is to collect sourcing information on cobalt.

Mandatory fields are noted with an asterisk (*). Consult the instructions tab for guidance on how to answer each question.

Company Information

Company Name (*):	Lexmark International - Conflict Mineral 2020 - Company Level
Declaration Scope or Class (*):	A. Company
Description of Scope:	
Company Unique ID:	5000000000116
Company Unique ID Authority:	
Address:	
Contact Name (*):	Patrick Brown
Email - Contact (*):	plbrown@lexmark.com
Phone - Contact (*):	859 232 1517
Authorizer (*):	Michelle Rawlings
Title - Authorizer:	Director, Global Sourcing & Planning in Printer Comm Mgmt
Email - Authorizer (*):	mrawlings@lexmark.com
Phone - Authorizer (*):	8592323370
Effective Date (*):	31-Mar-2020

Answer the following questions 1 - 6 based on the declaration scope indicated above

1) Is any of the cobalt intentionally added or used in the product(s) or in the production process?

	Answer	Comments
Cobalt(*)	Yes	

2) Do any of the smelters in your supply chain source the cobalt from a Conflict-Affected and High-Risk area? (OECD Due Diligence Guidance, see definitions tab) (*)

	Answer	Comments
Cobalt(*)	No	

3) Does 100 percent of the cobalt originate from recycled or scrap sources? (*)

	Answer	Comments



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Cobalt(*)

No

4) What percentage of relevant suppliers have provided a response to your supply chain survey?(*)

Cobalt(*)

Answer

Greater than 75%

Comments

5) Have you identified all of the smelters supplying the cobalt to your supply chain? (*)

Cobalt(*)

Answer

Yes

Comments



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6) Has all applicable smelter information received by your company been reported in this declaration? (*)

	Answer	Comments
Cobalt(*)	Yes	

Answer the Following Questions at a Company Level

Question	Answer	Comments
A. Have you established a publicly available cobalt sourcing policy? (*)	No	
B. Does your policy cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)	Yes	
C. Have you implemented due diligence measures for cobalt in the declaration scope indicated above? (*)	Yes	
D. Do you require suppliers to exercise due diligence over the cobalt supply chain in accordance with the OECD Due Diligence Guidance? (*)	Yes	
E. Do you require your direct suppliers to source cobalt from smelters whose due diligence practices have been validated by an independent third party audit program? (*)	Yes	
F. Do you require suppliers' due diligence practices to cover, at a minimum, all risks in the OECD Due Diligence Guidance Annex II Model Policy, as well as the worst forms of child labor? (*)	Yes	
G. Does your company conduct cobalt supply chain survey(s) of your relevant supplier(s)? (*)	Yes, CRT	
H. Do you review due diligence information received from your suppliers against your company's expectations? (*)	Yes	
I. Does your review process include corrective action management? (*)	Yes	

Option A: If you know the Smelter Identification Number, input the number in Column A (columns B, C, E, F, G, I and J will auto-populate); D will grey out.

Option B: If you have a Cobalt and Smelter Look-up name combination, complete the following steps:
Step 1. Select Metal in column B



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Smelter Identification Number Input Column	Metal (*)	Smelter Look-up (*)	Smelter Name (1)	Smelter Country (*)	Smelter Identification	Source of Smelter Identification Number	Smelter Street	Smelter City	Smelter Facility Location: State / Province
CID003209	Cobalt	Gem (Jiangsu) Cobalt Industry Co., Ltd.		CHINA	CID003209	RMI		Taixing	Jiangsu Sheng
CID003228	Cobalt	Umicore Olen		BELGIUM	CID003228	RMI		Olen	Antwerpen
CID003210	Cobalt	Lanzhou Jinchuan Advanced Materials Technology Co., Ltd.		CHINA	CID003210	RMI		Lanzhou	Gansu Sheng
CID003403	Cobalt	Glencore Nikkelverk Refinery		NORWAY	CID003403	RMI		Kristiansand	Vest-Agder
CID003225	Cobalt	Zhejiang Huayou Cobalt Co.,Ltd.		CHINA	CID003225	RMI		Tongxiang	Zhejiang Sheng
CID003255	Cobalt	Quzhou Huayou Cobalt New Material Co., Ltd.		CHINA	CID003255	RMI		Quzhou	Zhejiang Sheng
CID003278	Cobalt	Smelter Not Listed	Nihama Nickel and Cobalt Facility	JAPAN	CID003278	RMI		Nihama-City	Ehime
CID003282	Cobalt	Smelter Not Listed	Coral Bay Nickel Corp.	PHILIPPINES	CID003282	RMI		Bataraza	Palawan
CID003283	Cobalt	Smelter Not Listed	Taganito HPAL Nickel Corp	PHILIPPINES	CID003283	RMI		Claver	Surigao del Norte
CID003227	Cobalt	Ganzhou Yi Hao Umicore Industry Co.		CHINA	CID003227	RMI		Ganzhou	Jiangxi Sheng
CID003226	Cobalt	Freeport Cobalt Oy		FINLAND	CID003226	RMI		Kokkola	Keski-Pohjanmaa
CID003242	Cobalt	Fort Saskatchewan Metals Facility		CANADA	CID003242	RMI		Toronto	Ontario
CID003213	Cobalt	Guangxi Yinyi Advanced Material Co., Ltd.		CHINA	CID003213	RMI		Yulin	Guangxi Zhuangzu Zizhiqu
CID003219	Cobalt	Hunan Brunp Recycling Technology Co., Ltd.		CHINA	CID003219	RMI		Changsha	Hunan Sheng
CID003338	Cobalt	SungEel HiTech Co.,Ltd.		KOREA, REPUBLIC OF	CID003338	RMI		Gunsan-si	Jeollabuk-do
CID003212	Cobalt	Ganzhou Tengyuan Cobalt New Material Co., Ltd.		CHINA	CID003212	RMI		Ganzhou	Jiangxi Sheng
CID003215	Cobalt	Tianjin Maolian Science & Technology Co., Ltd.		CHINA	CID003215	RMI		Tianjin	Tianjin Shi
CID003291	Cobalt	Guangdong Jiana Energy Technology Co., Ltd.		CHINA	CID003291	RMI		Guangzhou	Guangxi Zhuangzu Zizhiqu
CID003293	Cobalt	Jiangsu Xiongfeng Technology Co., Ltd.		CHINA	CID003293	RMI		Haimen	Jiangsu Sheng
CID003411	Cobalt	Hunan Zoomwe New Energy Science & Technology Co., Ltd.		CHINA	CID003411	RMI		Changsha	Hunan Sheng
CID003390	Cobalt	NORILSK NICKEL HARJAVALTA OY		FINLAND	CID003390	RMI		Harjavalta	Satakunta
CID003384	Cobalt	Ganzhou Highpower Technology Co., Ltd.		CHINA	CID003384	RMI		Ganzhou	Jiangxi Sheng
CID003377	Cobalt	Jiangxi Jiangwu Cobalt industrial Co., Ltd.		CHINA	CID003377	RMI		Ganzhou	Jiangxi Sheng
CID003280	Cobalt	Complexe hydrométallurgique de Guemassa		MOROCCO	CID003280	RMI		Marrakech	Marrakech-Safi
CID003211	Cobalt	Zhuhai Kelixin Metal Materials Co., Ltd.		CHINA	CID003211	RMI		Zhuhai	Guangdong Sheng
CID003406	Cobalt	Murrin Murrin Nickel Cobalt Plant		AUSTRALIA	CID003406	RMI		Laverton	Western Australia